



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,939	02/14/2001	Julian Orbanes	GPH-003A

021323

TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

CONFIRMATION NO. 2324

## FORMALITIES LETTER



\*OC000000006127454\*

Date Mailed: 05/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$747.
  - \$747 for 83 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1167.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/02/2001 NBELETE1 00000086 09782939

01 FC:201  
02 FC:205

355.00 OP  
65.00 OP



Express Mail Mail Label No. EL749106200US

PATENT  
Attorney Docket No. GPH-003A  
(6934/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Orbanes et al.  
SERIAL NO.: 09/782,939 GROUP NO.: 2151  
FILING DATE: February 14, 2001 EXAMINER: Not yet assigned  
TITLE: Method for Viewing Information in Virtual Space

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir/Madam:

In response to the Notice to File Missing Parts mailed May 30, 2001, enclosed is a check in the amount of \$615.00 in payment of the basic filing fee for a small entity (\$355.00), two-month extension petition (\$195.00) and surcharge (\$65.00) for a small entity in compliance with 37 C.F.R. 1.27. Please note that also enclosed is a preliminary amendment which eliminates all excess claims not included in the filing fee. Also enclosed are the drawings for this application with the corrected margins.

Should the amounts above be incorrect, please charge Deposit Account No. 20-0531 for any deficiencies.

Respectfully submitted,

David G. Miranda  
Agent for Applicants  
Testa, Hurwitz, & Thibault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: September 28, 2001  
Reg. No. 42,898

Tel. No. (617) 248-7954  
Fax: (617) 790-0339

MIRANDDG\6934\6.2187252\_1

09782939-092801  
T03260-68628260

*A copy of this notice MUST be returned with the reply.*

Jhaine

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FD-3260-6E628460



10-01-01

Sec 37 \$

Express Mail Mailing Label No. EL749106200US

# TRANSMITTAL FORM

Application Serial Number	09/782,939
Filing Date	February 14, 2001
First Named Inventor	Orbanes
Group Art Unit	2151
Examiner Name	Not yet assigned
Attorney Docket No.	GPH-003A
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input checked="" type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Petition for Extension of Time (2 months)	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input checked="" type="checkbox"/> Response to Notice to File Missing Parts

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: September 28, 2001  
Reg. No. 42,898  
Tel. No.: (617) 248-7954  
Fax No.: (617) 790-0339  
David G. Miranda  
Agent for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

**PIPE FEE TRANSMITTAL**  
**FY 2001**

Complete if Known

Application Serial Number	09/782,939
Filing Date	February 14, 2001
First Named Inventor	Orbanes
Group Art Unit	2151
Examiner Name	Not yet assigned
Attorney Docket No.	GPH-003A

**METHOD OF PAYMENT**

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

**FEE CALCULATION****1. FILING FEE****Large Entity**

Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	710
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	20	- 20 = 0	x \$ 18.00 =	0

	Number Filed	Number Extra	Rate	Amount
Independent Claims	1	- 3 = 0	x \$ 80.00 =	0

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 710

SMALL ENTITY DISCOUNT: 355

SUBTOTAL (1) (\$) 355

**2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	-	=	x \$ 18.00 =	
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Indep.	-	=	x \$ 80.00 =	
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☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	195
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 260

SUBTOTAL (1) 355

SUBTOTAL (2) 0

SUBTOTAL (3) 0

TOTAL (\$) 615

**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,

Date: September 28, 2001  
 Reg. No.: 42,898  
 Tel. No.: (617) 248-7954  
 Fax No.: (617) 790-0339

David G. Miranda  
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